
Phase transitions, instantons and B mesons
in AdS/CFT with flavor

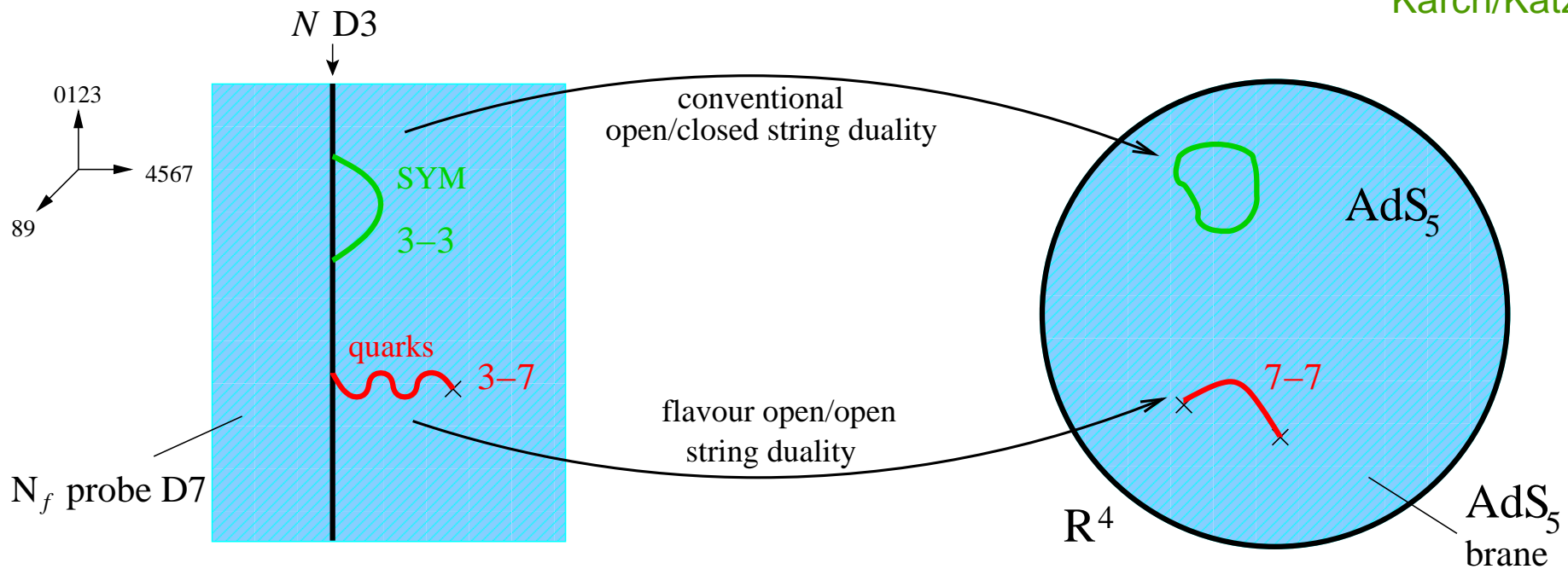
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work in collaboration with J. Babington, Z. Guralnik, I. Kirsch (HU Berlin),
R. Apreda, J. Große (HU Berlin/MPI München), N. Evans (Southampton)

Adding probe D7-branes to AdS/CFT

Karch/Katz, 2002



limit: $N \rightarrow \infty$ (standard Maldacena limit), N_f small (probe approximation)

Duality acts twice!

4d $\mathcal{N} = 4$ SU(N) Super Yang-Mills theory
coupled to
4d $\mathcal{N} = 2$ hypermultiplet

\longleftrightarrow

type IIB SUGRA on $AdS_5 \times S^5$
+
Dirac-Born-Infeld theory on $AdS_5 \times S^3$

D7 probe in deformed backgrounds

Combine the addition of a D7 brane probe

with the deformation of the $AdS_5 \times S^5$ space

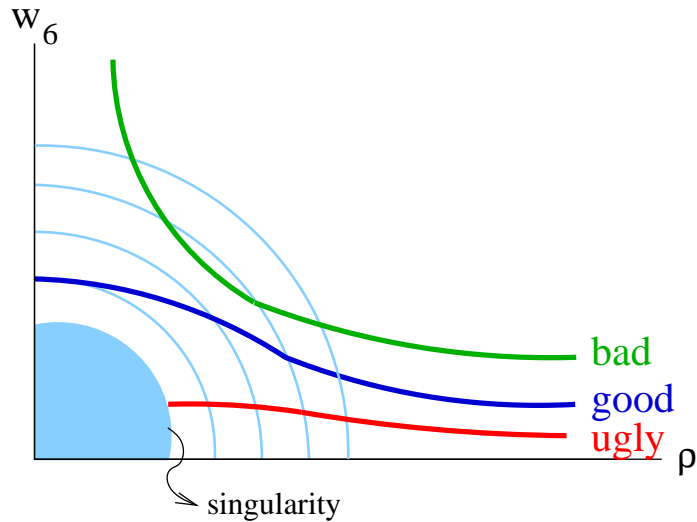
(holographic RG flow to IR theory without supersymmetry)

\Rightarrow gravity dual description of spontaneous chiral symmetry breaking by quark condensate and Goldstone bosons

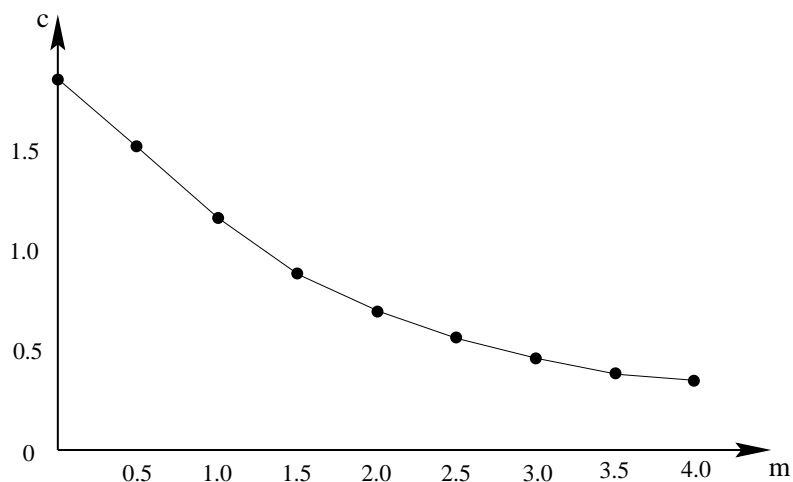
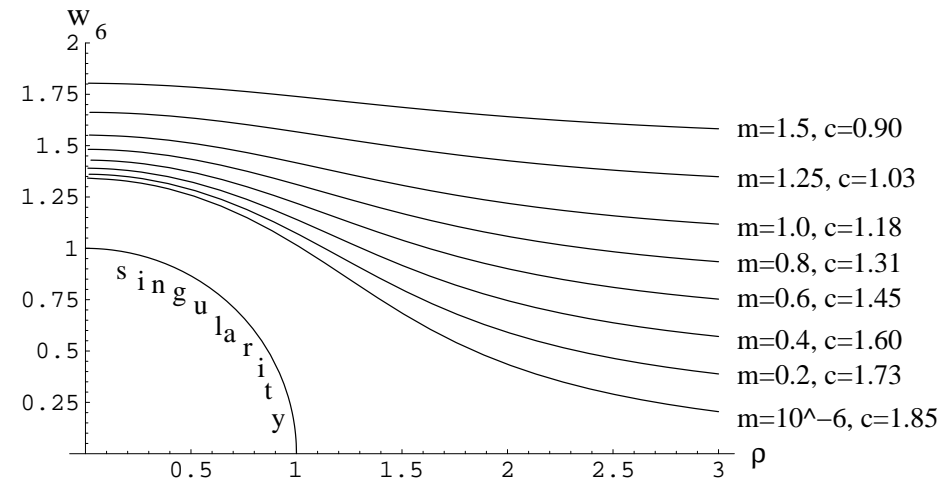
hep-th/0306018, Phys. Rev. D **69** (2004) 066007
with J. Babington, N. Evans, Z. Guralnik, I. Kirsch

CM deformation: D7-brane embedding & chiral symmetry breaking

Different possibilities for solutions of the D7-brane equations of motion:



Regular solutions in the Constable-Myers (CM) background ($b=1$):



Numerical results:

screening effect: regular solutions terminate before reaching the singularity!

spontaneous breaking of $U(1)_A$ symmetry: in the limit $m \rightarrow 0$ we have $c \neq 0$

Meson spectrum and large N Goldstone boson (η')

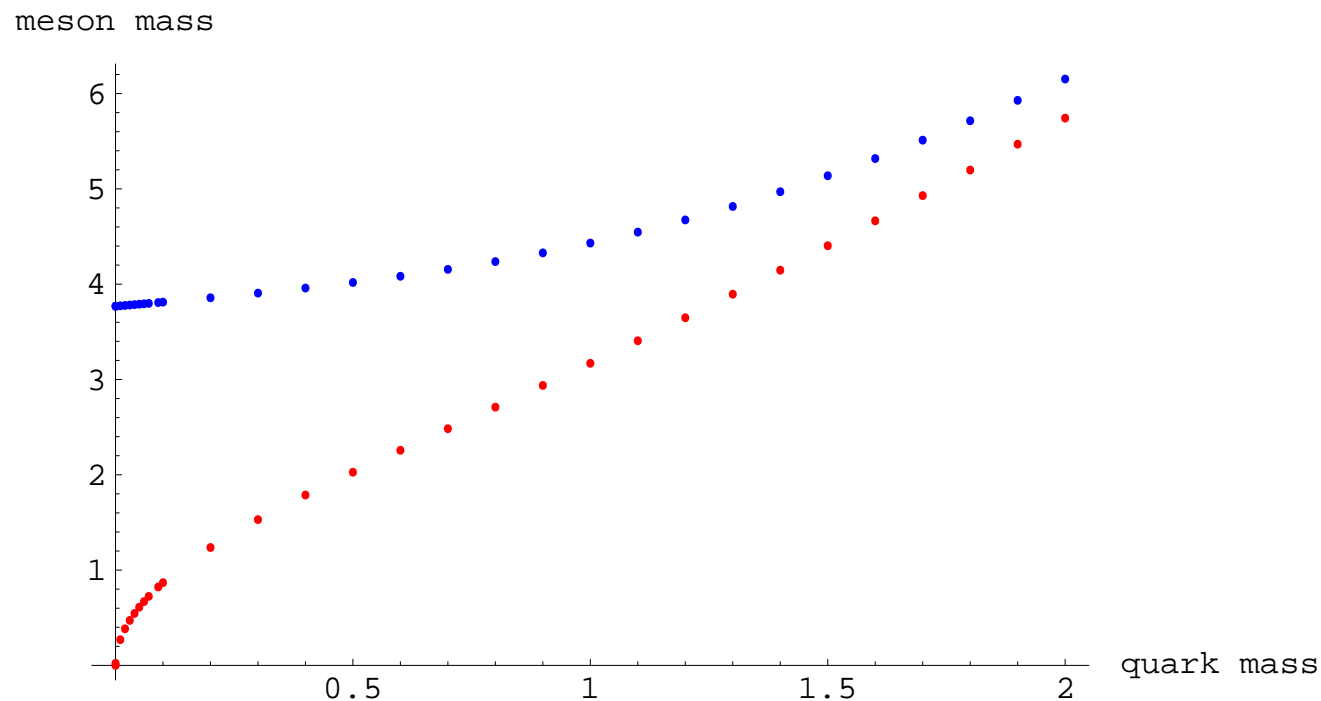
Spontaneous chiral symmetry breaking (via a condensate in the $m \rightarrow 0$ limit)

\Rightarrow expect a **Goldstone boson** in the meson spectrum

Consider fluctuations

$$\delta w_5 = f(\rho) \sin(k \cdot x) , \quad \delta w_6 = h(\rho) \sin(k \cdot x)$$

around $w_5 = 0, w_6 = w_6(\rho),$ Meson mass $M^2 = -k^2$



Flavour in the AdS Black Hole geometry

Consider $\mathcal{N} = 4 SU(N)$ SYM at finite temperature (Witten, 1998)

The dual string theory background is the Euclidean AdS-Schwarzschild solution defined by the metric (in an appropriate coordinate system)

$$ds^2 = \left(w^2 + \frac{b^4}{4w^2} \right) d\mathbf{x}^2 + \frac{(4w^4 - b^4)^2}{4w^2(4w^4 + b^4)} d\tau^2 + \frac{1}{w^2} \sum_{i=1}^6 dw_i^2$$

with radial coordinate $w^2 = \rho^2 + w_5^2 + w_6^2$

b a deformation parameter, τ periodic (period $\pi b = T^{-1}$)

horizon: S^1 collapses at $w = \frac{1}{2}b$

AdS Black Hole: Phase transition

Babington, J.E., Evans, Guralnik, Kirsch 2003

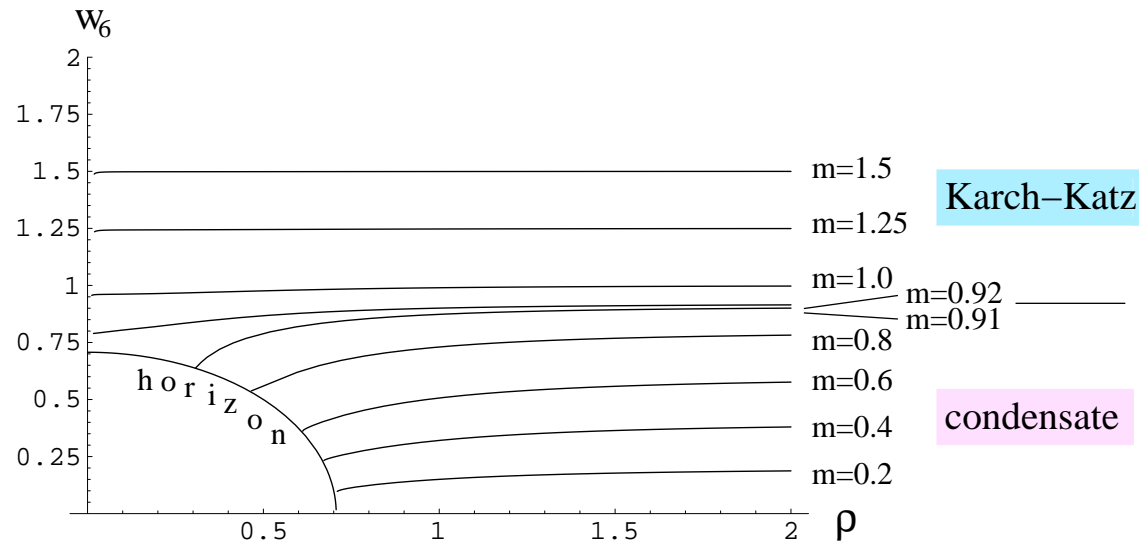
For the regular solutions the D7-brane either ends at the horizon,

$$w^2 = w_6^2 + \rho^2 = \frac{1}{2}b^2 \quad (S^1 \text{ collapses})$$

or ends at a point outside the horizon,

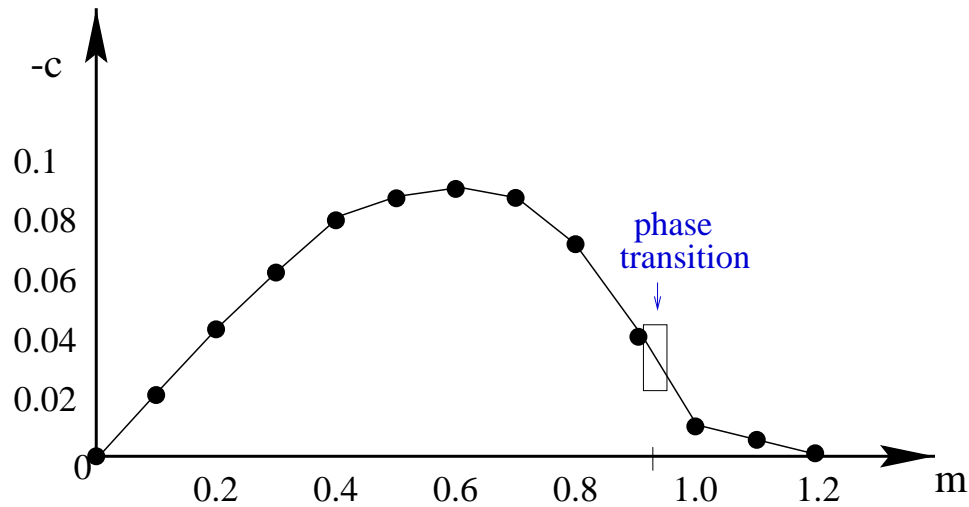
$$\rho = 0, w_6^2 \geq \frac{1}{2}b^2 \quad (S^3 \text{ collapses})$$

⇒ Two classes of regular solutions in the AdS black hole background:



Condensate at finite temperature

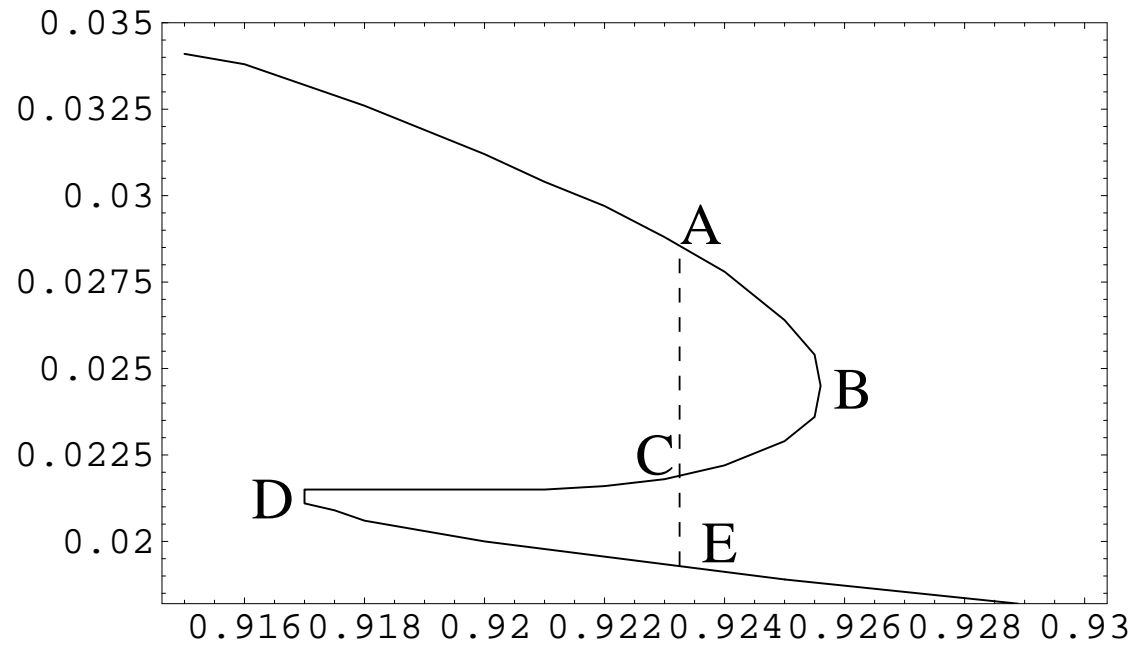
Condensate c versus quark mass m plot, $m \sim \frac{1}{T}$:



phase transition expected at $m_c \approx 0.92$
no condensate for $m = 0$
(no spontaneous chiral symmetry breaking)

Less symmetric phase at $T_c \leq T \leq \infty$

Phase transition



First order phase transition in type II B AdS black hole background

Ingo Kirsch, PhD thesis 2004

$N_f = 2$ in $\mathcal{N} = 2$ SUSY theory

J.E., Große, Guralnik 0502224

Duality:

Field theory: Higgs branch of $\mathcal{N} = 2$ theory with $N_f = 2$ fundamental hypermultiplets

Dual supergravity configuration: $SU(2)$ instanton configuration on two coincident D7 brane probes in $AdS_5 \times S^5$ background

In particular:

Higgs VEV \Leftrightarrow Instanton size

Gravity dual of Higgs branch with flavour

D7 brane action: $S = S_{WZ} + S_{DBI}$

$$S_{WZ} = \frac{T_7(2\pi\alpha')^2}{4} \int_{D7} C|_{PB}^{(4)} \wedge \text{tr}(F \wedge F)$$

At leading order in α' , S_{WZ} and S_{DBI} combine to give

$$S = -T_7(4\pi\alpha')^2 \int d^4x d^4y \frac{r^4}{4g_s R^4} F_-^2$$

$$F_{mn}^- = \frac{1}{2}(F_{mn} - \frac{1}{2}\epsilon_{mnrst}F_{rs}) \quad r^2 = y^2 + m^2$$

x, μ : 0123 directions (D3/D7), y, m : 4567 directions (D7 only), m : position of D7 in 8 direction

Field strengths which are self-dual with respect to the flat metric $dy^m dy^m$ manifestly solve the equations of motion ($F_{mn}^- = 0$)

Fluctuation spectrum for vector mesons

Fluctuation spectrum for vector mesons:

Simplest non-Abelian ansatz for fluctuations about the instanton background:

$$A_\mu^{(a)} = \xi_\mu(k) f(y) e^{ik^\mu x_\mu} \tau^a, \quad M^2 = -k^\mu k_\mu$$

τ^a : Pauli matrices

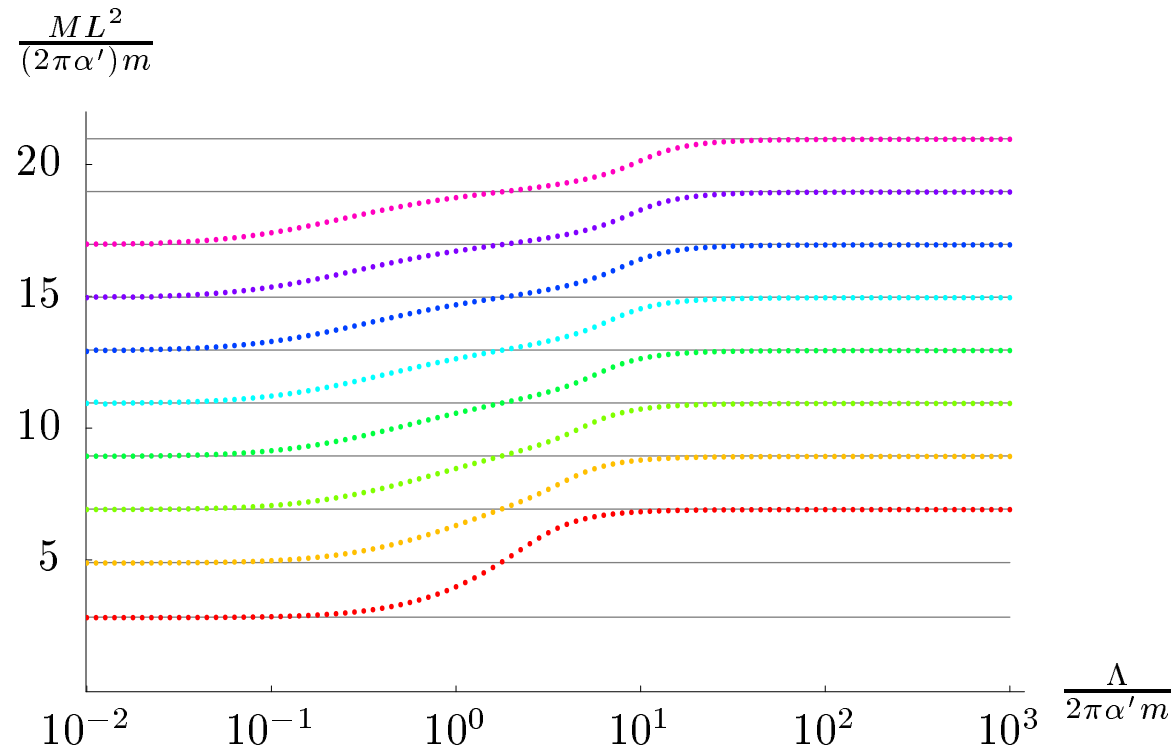
Asymptotically, in dual gauge theory this corresponds to

matrix elements of the $SU(2)$ flavour current

x, μ : 0123 directions (D3/D7),

y, m : 4567 directions (D7 only)

Fluctuation spectrum for vector mesons - Spectral flow



The spectrum is shifted by two levels between zero and infinite instanton size!

$N_f = 2$ in black hole background

Apreda, J.E., Evans, Guralnik 0504151

Deformed backgrounds:

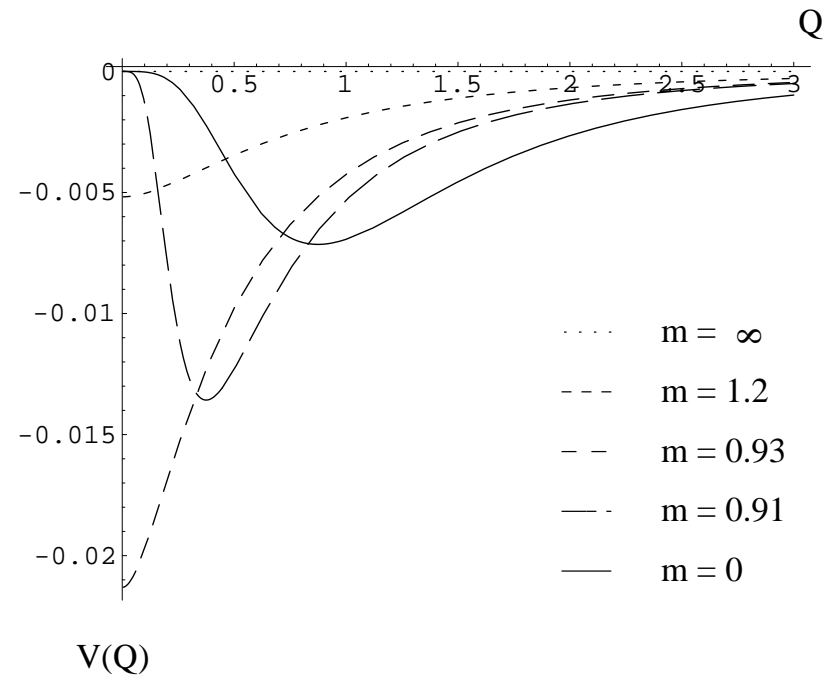
$S_{WZ} + S_{DBI}$ for the D7 probes evaluated on a static instanton configuration

→ potential for the squark bilinear (Higgs VEV).

$$S_{D7} = - \int d^4x V(Q)$$

$$V(Q) = T_7 \frac{(2\pi\alpha')^2}{2} \left(\frac{1}{g_s} \int d^4y C_{0123}^{(4)} \epsilon_{mnr s} \text{Tr} F_{mn} F_{rs} - \frac{1}{2} \int d^4y \sqrt{-\det G} \text{Tr} F^{mn} F_{mn} \right)$$

$N_f = 2$ in black hole background

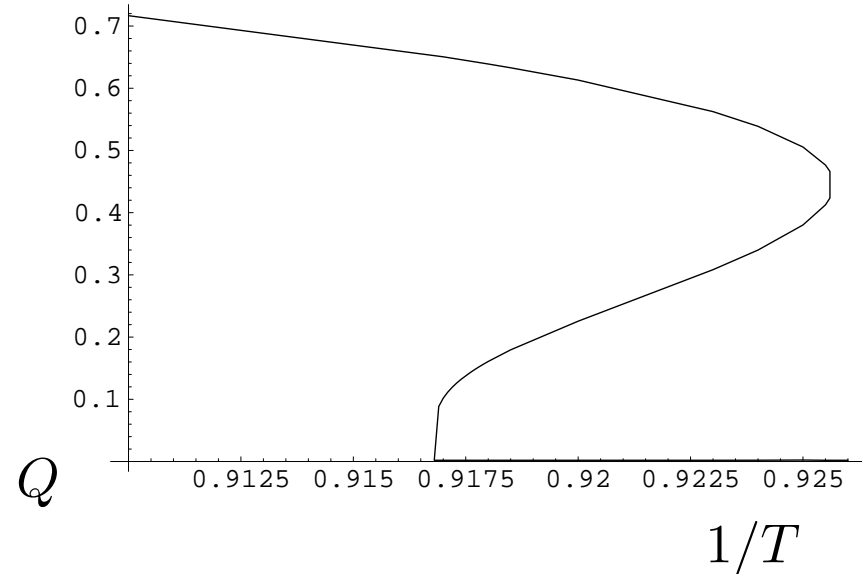
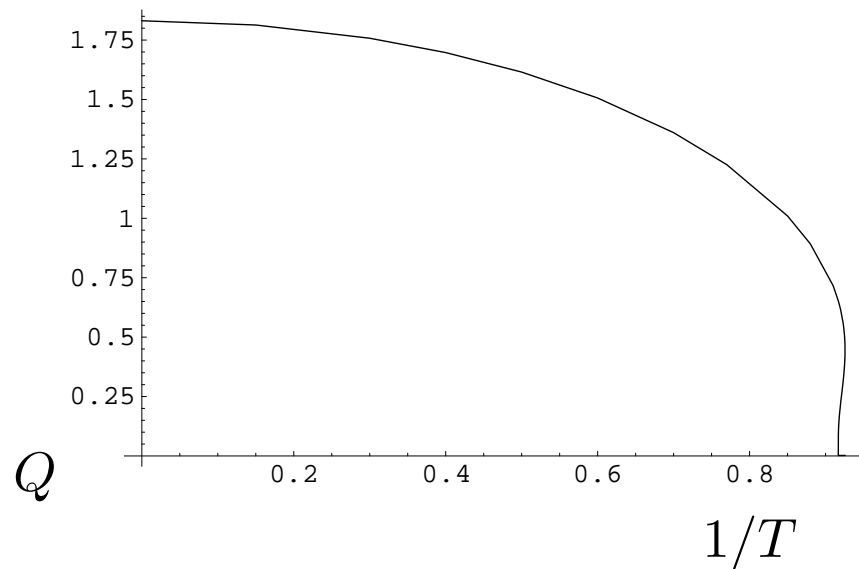


Higgs Potential for different values of $m_{quark} \propto 1/T$

$N_f = 2$ in black hole background

Squark VEV Q which minimizes Higgs potential

→ order parameter for the 1st order phase transition



The potential for the squark VEV is given within the dual gravity theory by the action evaluated on the $SU(2)$ instanton configuration.

Further uses of Higgs potential

- **Chemical potential**

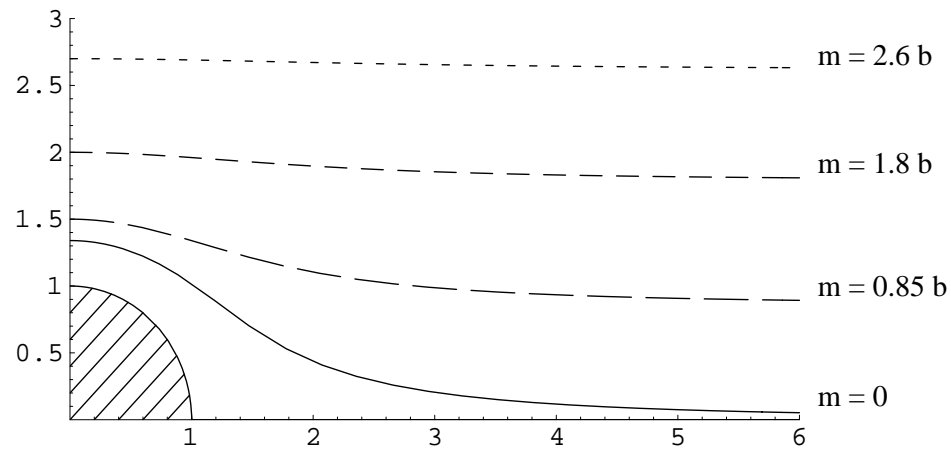
A^0 component of spurious gauge field associated with $SU(2)$ symmetry acquires a VEV
 \Rightarrow instability on both field theory and gravity side (potential negative)

- **Stability of brane embeddings**

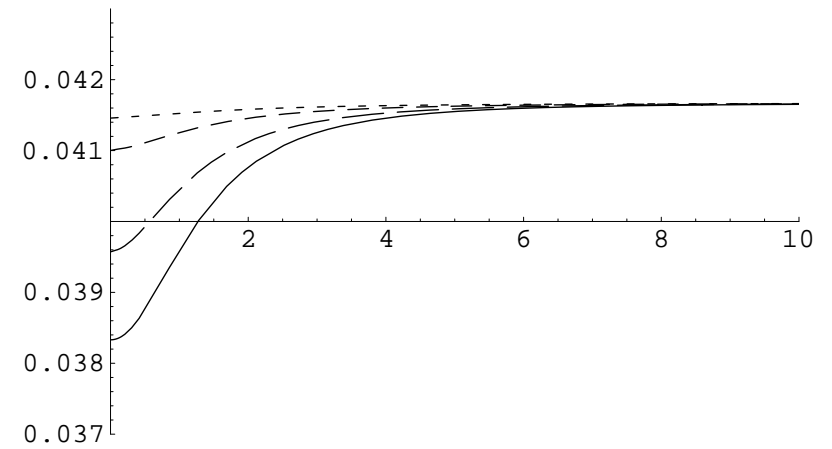
runaway behaviour of Higgs VEV for a given supergravity background would signal instability

Higgs potential for Constable-Myers deformation

w_6 vs. ρ



$V(Q)$ vs. Q



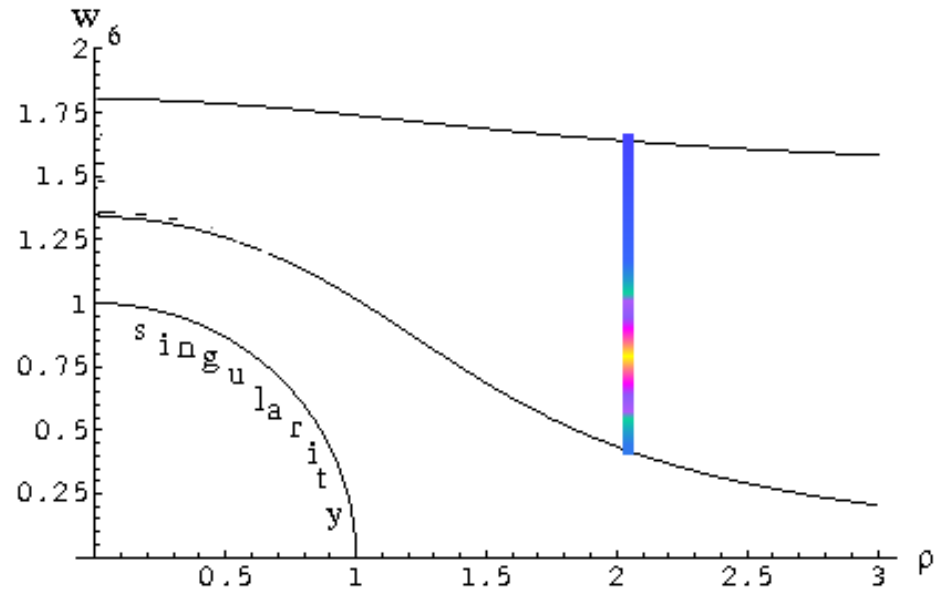
Apreda, J.E., Evans 0509219

B mesons

Heavy-light mesons

J.E., Evans, Große 2006

Consider string stretched between two D7 branes corresponding to different quark masses



B mesons

Polyakov string action

$$S = -\frac{T}{2} \int d\sigma d\tau G_{\mu\nu} (-\dot{X}^\mu \dot{X}^\nu + X'^\mu X'^\nu)$$

Constraints:

$$G_{\mu\nu} \dot{X}^\mu X'^\nu = 0, \quad G_{\mu\nu} (\dot{X}^\mu \dot{X}^\nu + X'^\mu X'^\nu) = 0$$

Identify $\sigma = w_6$, integrate over σ

Action:

$$S = -\frac{T}{2} \int d\tau [f(\rho) \dot{x}^2 - g(\rho) \dot{w}_i^2 + g(\rho)]$$
$$f(\rho) = \rho^2 L + \frac{1}{3} L^3, \quad g(\rho) = \frac{1}{\rho} \text{ArcTan}(L/\rho).$$

Legendre transform:

$$p_\alpha = \frac{\delta \mathcal{L}}{\delta \dot{x}^\alpha}$$

B mesons

Constraint:

$$\frac{1}{T^2 f(\rho)} p_x^2 + \frac{1}{T^2 g(\rho)} p_w^2 + g(\rho) = 0$$

Simple modification of $E^2 - p^2 = m^2$ with effective mass depending on the ρ position of the string

Quantum mechanical operator substitutions: $p \rightarrow -i\nabla$ acting on scalar field

$$\left[\square_x^2 - \frac{f(\rho)}{g(\rho)} \nabla_w^2 + T^2 g(\rho) f(\rho) \right] \phi = 0$$

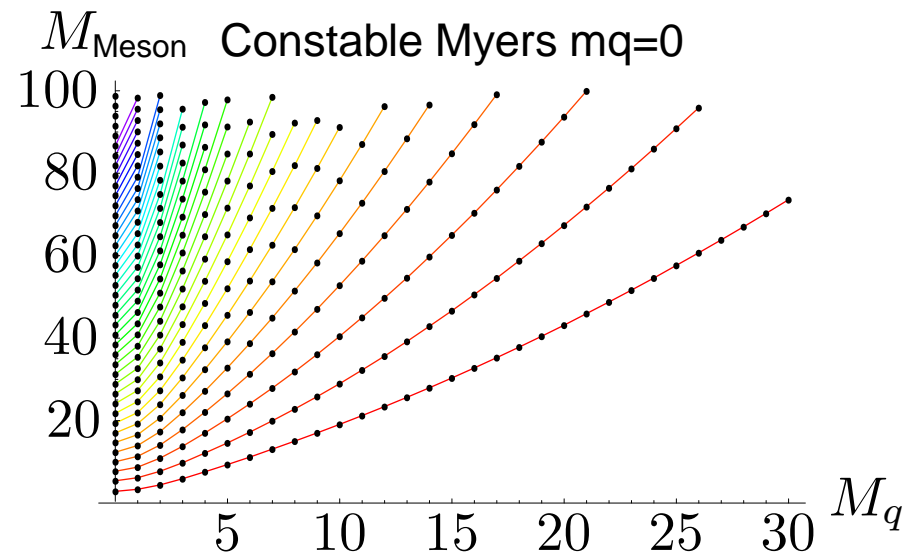
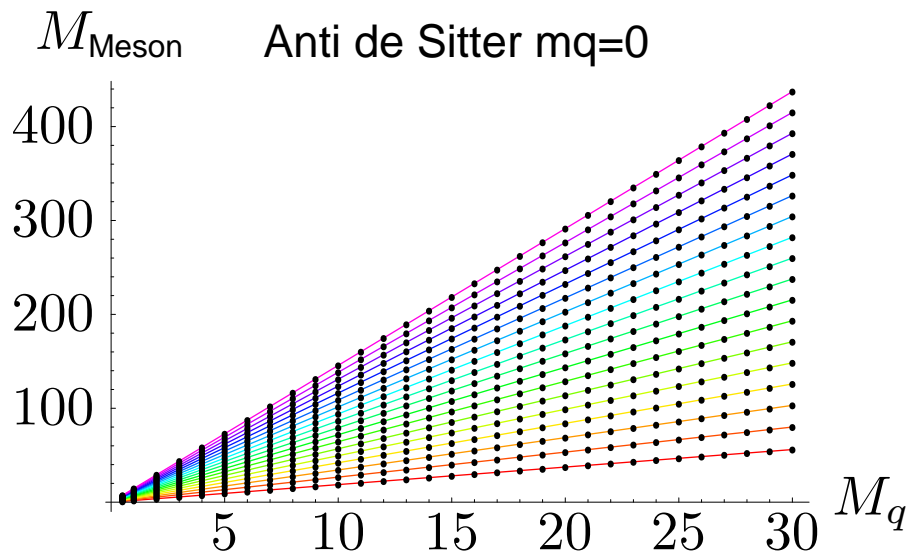
Asymptotic behaviour:

$$\phi = m_{hl} + \frac{c_{hl}}{\rho^2} + \dots$$

Fluctuations

$$\phi = h(\rho) e^{ik \cdot x}, \quad k^2 = -M^2$$

Heavy-light meson masses



B mesons

Input: Masses of ρ and Υ mesons

ρ : ($\bar{u}d$) vector meson, $M_\rho = 770$ MeV

Υ : ($\bar{b}b$) vector meson, $M_\Upsilon = 9.4$ GeV

Result:

Our model gives $M_B = 6.2$ GeV for B meson

B : ($\bar{b}u$) pseudoscalar

Experimental result: $M_B = 5.3$ GeV

Conclusion

- We have given a holographic description of **chiral symmetry breaking by a quark condensate** and of **light mesons**.
- An **instanton** on the D7 brane probe leads to a spectral flow of the meson spectrum on the Higgs branch.
- The gauge/gravity dual description predicts interesting new features in **finite temperature field theories, such as a first order transition at high temperature**
- The calculation of the Higgs potential provides an order parameter for the phase transition, a description of the chemical potential and a tool to clarify stability issues.
- We have constructed a simple model for studying **heavy-light mesons**.

The Constable-Myers deformation

The **Myers-Constable background** is given by the metric

$$ds^2 = H^{-1/2} \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{\delta/4} dx_4^2 + H^{1/2} \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{(2-\delta)/4} \frac{w^4 - b^4}{w^4} \sum_{i=1}^6 dw_i^2,$$

where

$$H = \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{\delta} - 1 \quad (\Delta^2 + \delta^2 = 10)$$

and the dilaton and four-form

$$e^{2\phi} = e^{2\phi_0} \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{\Delta}, \quad C_{(4)} = -\frac{1}{4} H^{-1} dt \wedge dx \wedge dy \wedge dz$$

This background has a **singularity** at $w = b$